

The Financial Services Risk & Compliance Conference  
29<sup>th</sup> April 2026 | One America Square, London EC3N 2LB

# FINANCIAL SERVICES RISK & COMPLIANCE

29.04.26

25+ FINANCIAL SERVICES SPEAKERS

1 DAY, LONDON

10TH EDITION

## Welcome To The Financial Services Risk & Compliance Conference

### Official Event Programme

Please note that the following timings are flexible. Due to the nature of a live event, the conference chairs and organisers will be updating the timings throughout the day to adapt to speakers running over time, late arrivals, last minute changes and extending popular sessions. Please rest assured we will do our utmost to adapt and to accommodate all live changes.

Organised By:



**08.30 Registration & Informal Networking**

**09.00 GIC Welcome & Morning Co-Chairs' Opening Remarks**

Monika Dissen, Global Head Internal Corporate Governance Principles & Board, Branch, & Committee Governance, **Deutsche Bank**



Achim George, Financial Crime Manager, **AXA UK**



## Navigating The Latest Regulations - Panel Discussion

### 09.10 Navigate Regulatory Change With Confidence: Strengthen Governance, Anticipate New Rules & Build Future-Ready Compliance Frameworks

- From DORA and PSR reforms to the Consumer Duty supervision, and new financial crime legislation... what do you need to do to stay ahead of an expanding regulatory agenda?
- Review lessons learned from recent implementation cycles to identify gaps, prevent compliance fatigue, and demonstrate continuous improvement to regulators
- Benchmark your approach against peers to uncover best practices, streamline governance structures, and ensure your frameworks can flex with ongoing regulatory updates
- Prepare for what's next: assess the horizon for AI regulation, ESG reporting standards, and global digital operational resilience initiatives to future-proof your compliance strategy

Marili Anderson, Managing Director, Head of Compliance UK, **Rabobank**



Areg Nzsdejan, Co-Founder & CEO, **Cardamon**



Tejas Katwala, CEO and Co-Founder, **CLDigital**



## Compliant & Customer Focused AI – Double Perspective

### 09.40 Advance Your AI Strategy: Build Ethical, Compliant & Customer-Centric Systems That Drive Trust, Transparency & Performance

- Move from experimentation to execution – embed AI responsibly across customer journeys to enhance accessibility, efficiency, and satisfaction while maintaining a human touch for vulnerable or digitally excluded customers
- Strengthen compliance and accountability by aligning AI governance with DORA, Consumer Duty, and forthcoming UK and international AI regulations
- How can professionals ensure fairness, transparency, and robust oversight across AI decision-making processes?
- Stay ahead of the curve – explore the next generation of AI tools, from generative and predictive technologies to ethical frameworks designed to prevent bias, misuse, and fraud

#### 09.40 Perspective One

Marili Anderson, Managing Director, Head of Compliance UK, **Rabobank**



#### 10.00 Perspective Two

Victoria Stubbs, Managing Director, Compliance, **Barclays**



## 10.20 Applying AI in Compliance: A Practical Starting Point

Gary Chamberlain, Director of Product Management, **StarCompliance**



## Fraud & Financial Crime – Perspective 1

### 10.35 Strengthen Defence Against Evolving Financial Crime With Future-Fit AML Strategies & Dynamic Compliance Frameworks

- Discover innovative strategies in financial crime prevention to enhance risk and compliance approaches across increasingly complex threat landscapes
- Unpack how to identify unusual customer behaviours and how to protect consumers from accidental actions that may make them unknowingly culpable in financial crime by developing effective consumer awareness strategies
- Stay ahead of tech-enabled financial crime by harnessing the latest advancements in AI, machine learning and real-time data analytics to enhance detection, accelerate investigations, and future-proof compliance frameworks
- What forward-looking AML strategies should take priority for risk and compliance teams to ensure regulatory readiness, customer protection, and business resilience in 2026?

Pallavi Kapale, Senior Financial Crime Officer, **Bank of China**

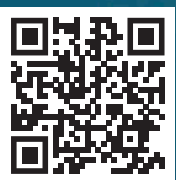




## **When searching for the best, look towards Star**

**StarCompliance is a globally trusted leader in simplifying employee regulatory compliance, enhancing workflows, and mitigating risks for 25 years and counting.**

**Stop by our Booth and Learn More**



**[starcompliance.com](https://starcompliance.com)**

## 10.55 Morning Refreshment Break With Informal Networking

### 11.25 Translate. Prioritise. Resonate. Building Risk-Based Investment Stories

This session explores how organisations can translate cyber risk into clear, prioritised investment asks that resonate with executive and board audiences.

Focusing on practical approaches, Elaine's session will cover:

- How to move from technical risk statements to business investment decisions
- Framing cyber security spend in terms of risk reduction, resilience and strategic value
- Telling a coherent Board friendly story that links threats, regulatory expectations and organisational priorities

Elaine McKechnie Head of Cyber Security Consultancy, **i-confidential**



## Fraud & Financial Crime – Perspective 2

### 11.40 Strengthen Defense Against Evolving Financial Crime With Future-Fit AML Strategies & Dynamic Compliance Frameworks

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Mary Yetunde Reuben, Vice President – Head, Internal Audits, Controls & Governance Assurance, **United Bank For Africa Ltd**



## ESG, Climate & Sustainability – Fireside Chat

### 12.00 Strengthen ESG & Climate Strategies To Meet Evolving Regulations, Enhance Transparency & Deliver Measurable Impact

- Navigate the next phase of ESG maturity by integrating ESG and climate considerations into enterprise-wide strategy, governance, and risk frameworks
- Embed ESG principles into governance, risk management, and investment decisions to drive long-term resilience and sustainable growth
- How can institutions of all sizes adapt to regional policy shifts, stakeholder pressures, and the growing demand for credible, data-driven sustainability performance?
- Enhance reporting accuracy and accountability to demonstrate genuine impact, strengthen stakeholder confidence, and guard against greenwashing and reputational risk

Anshu Khanna, MD, Head of Climate Risk Framework & Execution, **Barclays**



Gaurav Bansal, Delivery Director – BCBS239 Compliance, **Bank of Ireland**



## 12.20 Turn Risk Into Readiness: Preparing for Today's Threat Landscape

In this session, Phil Pate VP & General Manager, EMEA will review the most significant threats identified by Alert Media's Global Intelligence Team in 2025 and highlight emerging threats and trends impacting enterprise security risk management efforts in 2026 and beyond.

Phil Pate, VP + General Manager EMEA, **AlertMedia**



## Operational Resilience

### 12.35 Future-Proof Operational Resilience: Strengthen Continuity, Adaptability & Trust In An Era Of Constant Change

- Move beyond compliance to embed resilience as a core organisational capability – align governance, culture, and investment with long-term continuity and customer protection
- Empower risk and compliance teams with clear accountability, senior leadership engagement, and cross-functional collaboration to drive a proactive resilience culture
- Demonstrate regulatory due diligence with dynamic frameworks that test impact tolerances, manage disruption scenarios, and enhance third-party oversight

Mihaela Breg, Head of Operational Resilience & Business Transformation, **Europe Arab Bank**



**12.55 Topic Generation Submission**

*We would love to hear your thoughts on potential topics or ideas of one-day brand led conferences – please do submit any thoughts or ideas via the QR code on the screen. Many thanks in advance!*

**13.00 Lunch & Informal Networking For Speakers, Delegates & Partners**

**13.30 Informal Breakout Sessions Within The Networking Room**

**14.00 Afternoon Co-Chairs' Opening Remarks**

Suresh Sankaran, Prudential Risk Lead, **Natwest Group PLC**



Gaurav Bansal, Delivery Director – BCBS239 Compliance, **Bank of Ireland**



## Enterprise Regulatory Intelligence: Seeing the Regulatory Position of your Firm

**14.10 This session explores how financial institutions can move beyond fragmented compliance frameworks to understand their true regulatory position. While conduct, operational resilience, financial crime and reporting are managed separately, the signals that determine regulatory exposure rarely sit within those boundaries.**

As supervision becomes more outcome-focused and data-driven, understanding how complaints, incidents and risk indicators interact across the organisation is increasingly complex. Focusing on practical approaches, this session will cover:

- What actually determines a firm's regulatory position in practice—not just in frameworks
- How key signals (complaints, incidents, financial crime and reporting data) combine to shape exposure
- Why current operating models struggle to provide a coherent, enterprise-wide view
- What a connected, enterprise-level view of regulatory position looks like in reality

Asha Sangray, CEO, **Profylr**



## Emerging Risks & The Future of Risk & Compliance – Panel Discussion

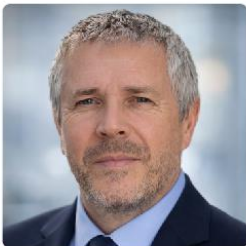
### 14.25 Anticipate & Navigate The Next Wave of Emerging Risks: Build Agility, Resilience & Foresight Across Your Organisation

- From AI governance and data ethics to geopolitical volatility and climate risks, what key issues are on the 2026 risk horizon and beyond?
- Adapt proactively: evaluate your risk frameworks and scenario planning to identify vulnerabilities early and strengthen response capabilities across business lines
- Embed a forward-looking risk culture where accountability, agility, and awareness are shared at every level of the organisation
- Stay resilient amid constant change: balance innovation with robust risk controls to safeguard consumers, maintain trust, and ensure sustainable growth

Prab Bajwa, Managing Director, Senior Digital Asset Risk Officer, **State Street**



Andrew Murphy, Director | Co-founder, **djinn six**



Maxine Gee, CRO & Head of Risk for Digital Markets, **LSEG plc**



### 14.55 Every Incident Optimised: From Alerts to Action in Critical Events

This presentation explores how today's interconnected risk landscape is reshaping operational resilience, using real world disruptions like the Europe power outage to show how quickly incidents cascade across systems. It highlights how crises not only disrupt operations but also create opportunities for cyber threats, while internal silos often slow down response and decision making. The core message is that resilience advantage now comes from compressing the gap between signal and decision, enabled by orchestration and AI, through a control tower model that connects data, prioritises risk, and coordinates action, while keeping human judgement at the centre of critical decisions.

Colin Powers, Director, Risk Intelligence Real-Time Operations, **Everbridge**



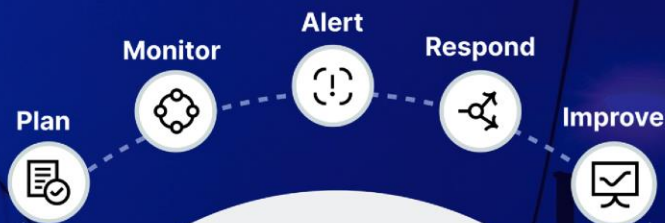
### 15.10 Evaluation Form & Feedback

*We would love to hear your thoughts from the conference today, please do submit your feedback via the QR code on the screen. Many thanks in advance!*

### 15.15 Afternoon Refreshment Break With Informal Networking

# Protect your people and organisation from the continuous disruption of critical events

Everbridge High Velocity CEM, powered by purpose-built AI



**everbridge™**

## Visual Command Center

Active Alert: Wildfire

Mandatory evacuation ordered. Employees and travelers located within 20 KM of the fire's current perimeter.

Details Assets History Severity

Summary		ACTIVE	Severe
Assets	4	Contacts	2066

Map showing San Gabriel Mountains area with evacuation zones and asset locations.

**High Velocity CEM**

Full Lifecycle Automation  
Human Guidance  
Risk Intelligence

Purpose-built AI

Learn more at:



[everbridge.com](https://everbridge.com)



## 15.45 The Electric Fluid Travels At The Rate Of 280,000 Miles Per Second

As twenty-first century cyber security professionals, we are surrounded by exciting new AI technologies for enhancing our security controls. The only thing standing between us and success is the human element of the equation, or what we like to call “the weakest link”.

But people are not the weakest link: they are the least understood link, often overshadowed by a fixation on technology. Could an incident from 165 years ago unlock our understanding of the present? And could an investigative Large Language Model shed light on the past?

David Porter, Senior Advisor, Emerging Threats Team, **Bank of England**



**Bank of England**

## Risk Culture & Governance – Panel Discussion

### 16.05 Evolve Risk Culture & Governance To Build Integrity, Accountability & Sustainable Performance Across Your Financial Services Organisation

- Reinforce governance structures and clarify accountability across all functions to ensure conduct and compliance are fully embedded in day-to-day decision-making
- Empower leaders to champion cultural change: strengthen tone from the top, improve staff engagement, and promote ethical behaviour at every level
- Measure what matters: develop effective indicators, reporting mechanisms, and benchmarking tools to assess cultural health, governance maturity, and progress over time
- Foster a transparent, values-driven environment that protects customers, employees, and stakeholders while reinforcing long-term trust and reputational resilience

Adrian Burton, Director of Retail Risk, **Nationwide**



Vintee Singh, Vice President, Global Compliance, **Morgan Stanley**



**Morgan Stanley**

Emily Bainbridge, Head of Compliance – Colleague Conduct & SMCR, **Lloyds Banking Group**



Pardeep Chaggar, Managing Director, International Head of Legal Entity Compliance, **Wells Fargo**



Ben Westwood, Head of Compliance & DPO, **Motor Insurers' Bureau (MIB)**



## Consumer Duty Updates

### 16.35 Strengthen Your Consumer Duty Framework: Demonstrate Value, Embed Culture & Prepare For Evolving Regulatory Expectations

- A year on from full implementation, how has Consumer Duty reshaped conduct, culture, and governance across financial services?
- How are organisations evidencing consumer outcomes, addressing persistent pain points, and responding to evolving FCA expectations in supervision and enforcement?
- Move beyond compliance to cultural integration: strengthen governance and accountability frameworks that truly embed consumer-centricity across products, services, and operations
- Evaluate adherence to Consumer Duty principles in practice – assess how effectively firms are delivering good customer outcomes, identifying and addressing harm, and ensuring fair treatment across the full product and service lifecycle

Chris Knight, Group Chief Risk Officer, **Legal & General**



**16.55 Afternoon Co-Chairs' Closing Remarks & Official Close Of Conference**

Suresh Sankaran, Prudential Risk Lead, **Natwest Group PLC**



Gaurav Bansal, Delivery Director – BCBS239 Compliance, **Bank of Ireland**

